

ATTACHMENT D

DBE GOAL SUBMISSION METHOD/DOCUMENTATION

Relative Availability of DBE's (base figure) - for "Step 1 " of the process (see S26.45(c)) was calculated by using Example 2 from 526.45. The relative availability percentage is 0.0190%.

1. We compiled a list of DOT-assisted projects that we expect to be involved with during the upcoming triennial period using the FY 2025-2027 STIP.
2. We listed the potential bidders/quoters (prime and subcontractors) for each project using the Ohio UPC DBE Directory, and other data, such as US Census Data. The local market area from which NAICS codes are pulled is the Mahoning, Trumbull, Columbiana, and in certain instances where it was deemed a larger selection was needed, Cuyahoga, and Stark Counties were included in Ohio. We used the weighting method to determine our base figure of 1.37%.
3. We adjusted our base figure base on the following data analysis:
 - A. The primary source of WRTA's DBE participation comes through construction projects. In the upcoming goal setting period, the focus of capital purchases will have limited construction related projects. Although we believe a goal of 1.37% can be achieved, we continued our analysis with past participation.
 - B. Using DBE Goal reporting forms for fiscal year end 2021-2024 we concluded the following:
 1. FY 2021: Total Value of DOT-assisted contracts, excluding bus purchases, was \$2,458,664; total value of DBE contracts awarded was \$43,882. The DBE awards were 1.78%.
 2. FY 2022: Total Value of DOT-assisted contracts, excluding bus purchases, was \$3,794,140; total value of DBE contracts awarded was \$50,000. The DBE awards were 1.32%.
 3. FY 2023: Total Value of DOT-assisted contracts, excluding bus purchases, was \$1,380,631; total value of DBE contracts awarded was \$0. The DBE awards were 0.00%
 - C. The median past DBE percent for capital contracts only for FY 2018 – FY 2020 is 1.32%. This figure was averaged with the base figure of 1.37% in Step 1, resulting in an adjusted DBE goal of 1.35%. Our projected DBE percent for FY 2025-2027 DOT-assisted contracts is 1.35%, which should be obtainable and is based on potential FFY 2025-2027 purchases. We

estimate that, in meeting our overall goal of 1.35%, we will obtain 1.35% from race neutral participation.

- D. We published our goal information in the Youngstown Vindicator, Tribune Chronicle and Buckeye Review (a minority publication) newspapers, as well as our website www.wrtaonline.com on July 15,2021. We did not receive any comments as a result of the publication; therefore, no changes were made to the goal methodology following the comment period. The adjusted goal will be published in the same newspapers and the WRTA website. Consultation with potential prime and subcontractors took place throughout the year at pre-bid meetings on a project by project basis. WRTA will become more aggressive in consultation with minority, women's and general contractor groups, community organizations and other officials or organizations which could be expected to have information concerning the availability of disadvantaged businesses and the effects of discrimination on opportunities for DBEs.

Attachments

FFY 2022-2024 Potential Contractors/Subcontractors list by Project

Copy of notice posted on WRTA website (www.wrtaonline.com) announcing the proposed goal

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM

The Western Reserve Transit Authority (WRTA) makes every effort to seek qualified businesses to purchase goods and/or services. It is our policy not to discriminate and to encourage disadvantaged business enterprise (DBE) participation wherever possible in contracts and programs funded by the Federal Transit Administration (FTA). It is the policy of the U.S. Department of Transportation that DBE's (minority and women-owned firms) shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with federal funds.

WRTA will ensure that all contractors providing goods, services, or construction will take positive steps toward the utilization of disadvantaged business enterprises, to the maximum extent possible.

WRTA's overall goal, just reviewed and projected, for FFY 2025-2027 is 1.35% and will be reviewed on a three (3) year basis. The overall goal is divided into race-conscious and race neutral means. This goal is a percentage of federal financial assistance that will be expended in DOT-assisted contracts awarded by WRTA (excluding transportation vehicles). The goal is calculated after reviewing WRTA's past goal history, adjusting for availability of DBE's ready and willing to participate in the specific projects WRTA will be undertaking.

DBE's are certified under the Unified Certification Program (UCP) by the Ohio Department of Transportation, as required by the Code of Federal Regulations (CFR) Title 49, Part 26. The State has developed a Unified Certification Program to provide "one-stop shopping" for DBE firms. An applicant is required to apply only once for a DBE certification within the state as long as an annual affidavit is submitted before the certification expiration. That certification will be honored by all agencies who receive federal transportation funding in the state and by other states that have a reciprocal UCP.

An application may be obtained by contacting the Ohio Department of Transportation, Office of Contracts-DBE Section, 1980 W. Broad Street, Columbus, OH 43223, or by logging onto the website www.dot.state.oh.us/Divisions/ODI/SDBE/Pages/default.aspx Telephone: 614-466-2878.

Other certifying agencies in Ohio are:

Greater Cleveland Regional Transit Authority (GCRTA), 1240 W. 6th Street, Cleveland, OH 44113, (216) 566-5002

City of Dayton, 130 W. Second Street, suite 730, Dayton, OH 45402, (937)228-7277

Cleveland Hopkins International Airport, 5300 Riverside Drive, Cleveland, OH 44135, (216)265-6137